

07-20-01

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**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Only for new nonprovisional applications under 37 CFR 1.53(b))**

Docket No. : 40682/MJM/A717  
 Inventor(s) : Miri Park and John A. Rogers  
 Title : METHOD AND APPARATUS FOR FABRICATING COMPLEX GRATING STRUCTURES  
 Express Mail Label No. : EL387001243US

**ADDRESS TO:** Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, D.C. 20231

Date: July 18, 2001

1. ☒ **FEE TRANSMITTAL FORM** *(Submit an original, and a duplicate for fee processing).*

2. **IF A CONTINUING APPLICATION**

\_\_\_\_\_ This application is a \_\_\_\_\_ of patent application No. \_\_\_\_\_

Prior application information: Examiner ; Group Art Unit: \_\_\_\_\_

\_\_\_\_\_ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application No. \_\_\_\_\_

3. **APPLICATION COMPRISED OF**

**Specification**

18 Specification, claims and Abstract (total pages)

**Drawings**

6 Sheets of drawing(s) (FIGS. 1 to 10)

**Declaration and Power of Attorney**

☒ Newly executed

\_\_\_\_\_ Unexecuted declaration

\_\_\_\_\_ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. \_\_\_\_\_ **Microfiche Computer Program** *(Appendix)*

5. \_\_\_\_\_ **Nucleotide and/or Amino Acid Sequence Submission** *(if applicable, all necessary)*

\_\_\_\_\_ Computer Readable Copy

\_\_\_\_\_ Paper Copy (identical to computer copy)

\_\_\_\_\_ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

\_\_\_\_\_ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL ENTITY

7. **ALSO ENCLOSED ARE**

\_\_\_\_\_ Preliminary Amendment

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UTILITY PATENT APPLICATION**

**DATE: July 18, 2001**

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Title : METHOD AND APPARATUS FOR FABRICATING COMPLEX GRATING  
STRUCTURES

**FEE DETERMINATION**

CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	29 - 20	9	x \$9.00	9 x \$18.00	\$162.00
INDEPENDENT CLAIMS	2 - 3	0	x \$40.00	x \$80.00	\$0.00
MULTIPLE-DEPENDENT CLAIMS FEE			\$135.00	\$270.00	\$0.00
BASIC FEE			\$355.00	\$710.00	\$710.00
TOTAL FILING FEE					\$872.00
List Independent Claims: 1 and 25					

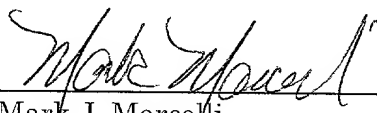
**METHOD OF PAYMENT**

☐ Payment Enclosed:

☒ The Commissioner is hereby authorized to charge any fees which may be required under 37 CFR 1.16 and 1.17 which may be required during the entire pendency of the application to Agere Systems, Inc. Deposit Account No. **50-1735**. Please show our docket number with any charge or credit to said Deposit Account. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By   
Mark J. Marcelli  
Reg. No. 36,593  
626/795-9900

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- ☐ A Petition for Extension of Time for the parent application and the required fee are enclosed as separate papers
- ☒ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed as separate papers
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☒ Information Disclosure Statement (IDS)/PTO-1449
- ☐ 1 Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Other

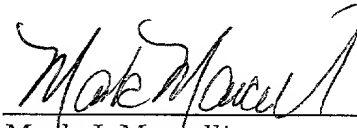
**8. CORRESPONDENCE ADDRESS**

***CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068***  
**Customer Number: 23363**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By



Mark J. Marcelli

Reg. No. 36,593

626/795-9900

MJM/dah